# SUBCHAPTER C—FOREIGN SERVICE LABOR RELATIONS BOARD AND GENERAL COUNSEL OF THE FEDERAL LABOR RELATIONS AUTHORITY

# PART 1420—PURPOSE AND SCOPE

#### § 1420.1 Purpose and scope.

The regulations contained in this subchapter are designed to implement the provisions of the Foreign Service Labor-Management Relations Statute. They prescribe the procedures and basic principles or criteria under which the Foreign Service Labor Relations Board or the General Counsel of the Federal Labor Relations Authority, as applicable, will:

- (a) Supervise or conduct elections and determine whether a labor organization has been selected as an exclusive representative by a majority of the employees who cast valid ballots and otherwise administer the provisions of the Statute relating to the according of exclusive recognition to a labor organization:
- (b) Resolve complaints of alleged unfair labor practices;
- (c) Resolve issues relating to the obligation to bargain in good faith;
- (d) Resolve disputes concerning the effects, the interpretation, or a claim of breach of collective bargaining agreement, in accord with 22 U.S.C. 4114; and
- (e) Take any action considered necessary to administer effectively the provisions of the Foreign Service Labor-Management Relations Statute.

(Authority: 22 U.S.C. 4107(c)) [46 FR 45861, Sept. 15, 1981]

# PART 1421—MEANING OF TERMS AS USED IN THIS SUBCHAPTER

Sec

- 1421.1 Foreign Service Labor-Management Relations Statute.
- 1421.2 Terms defined in section 1002 of the Foreign Service Act of 1980 (22 U.S.C. 4102).
- 1421.3 Exclusive recognition; Unfair labor practices.
- 1421.4 Department.
- 1421.5 Regional Director.
- 1421.6 Executive Director.
- 1421.7 Hearing Officer.

- 1421.8 Administrative law judge.
- 1421.9 Chief Administrative Law Judge.
- 1421.10 Secretary.
- 1421.11 Party.
- 1421.12 Intervenor.
- 1421.13 Certification.
- 1421.14 Bargaining unit.1421.15 Secret ballot.
- 1421.16 Showing of interest.
- 1421.17 Grievance Board.
- 1421.18 Regular and substantially equivalent employment.

AUTHORITY: 22 U.S.C. 4107(c).

SOURCE: 46 FR 45861, Sept. 15, 1981, unless otherwise noted.

# § 1421.1 Foreign Service Labor-Management Relations Statute.

The term *Foreign Service Labor-Management Relations Statute* means chapter 10 of title 1 of the Foreign Service Act of 1980, codified as chapter 41 of title 22 of United States Code.

#### § 1421.2 Terms defined in section 1002 of the Foreign Service Act of 1980 (22 U.S.C. 4102).

- (a) The terms Authority, Board, collective bargaining, collective bargaining agreement conditions of employment, confidential employee, dues, exclusive representative, General Counsel, labor organization, management official, Panel, and person, as used herein shall have the meaning set forth in 22 U.S.C. 4102.
- (b) The term Assistant Secretary means the Assistant Secretary of Labor for Labor-Management Relations.

# § 1421.3 Exclusive recognition; Unfair labor practices.

- (a) Exclusive Recognition has the meaning as set forth in 22 U.S.C. 4111; and
- (b) *Unfair labor practices* has the meaning as set forth in 22 U.S.C. 4115.

# $\S 1421.4$ Department.

*Department* means the Department of State, except that with reference to the exercise of functions under this Act

# § 1421.5

with respect to another agency authorized by law to utilize the Foreign Service personnel system, such term means that other agency.

# §1421.5 Regional Director.

Regional Director means the Director of a region of the Authority with geographical boundaries as fixed by the Authority.

#### §1421.6 Executive Director.

*Executive Director* means the Executive Director of the Authority.

### §1421.7 Hearing Officer.

Hearing Officer means the individual designated to conduct a hearing involving a question concerning the appropriateness of a unit or such other matters as may be assigned.

### §1421.8 Administrative law judge.

Administrative law judge means the Chief Administrative Law Judge or any administrative law judge designated by the Chief Administrative Law Judge to conduct a hearing in cases under 22 U.S.C. 4115, and such other matters as may be assigned.

# § 1421.9 Chief Administrative Law Judge.

Chief Administrative Law Judge means the Chief Administrative Law Judge of the Authority.

# §1421.10 Secretary.

Secretary means the Secretary of State, except that (subject to 22 U.S.C. 3921) with reference to the exercise of functions under the Foreign Service Act of 1980 with respect to any agency authorized by law to utilize the Foreign Service personnel system, such term means the head of that agency.

# §1421.11 Party.

Party means (a) any person: (1) Filing a charge, petition, or request; (2) named in a charge, complaint, petition, or request; (3) whose intervention in a proceeding has been permitted or directed by the Board; (4) who participated as a party (i) in a matter that was decided by an agency head under 22 U.S.C. 4105 or (ii) in a matter where action by the Grievance Board was taken; and (b) the General Counsel, or the

General Counsel's designated representative, in appropriate proceedings.

#### §1421.12 Intervenor.

*Intervenor* means a party in a proceeding whose intervention has been permitted or directed by the Authority, its agents or representatives.

### §1421.13 Certification.

*Certification* means the determination by the Board, its agents or representatives, of the results of an election.

### §1421.14 Bargaining unit.

Bargaining unit has the meaning as set forth in 22 U.S.C. 4112 for the purpose of exclusive recognition under 22 U.S.C. 4111, and for purposes of allotments to representatives under 22 U.S.C. 4118.

# §1421.15 Secret ballot.

Secret ballot means the expression by ballot, voting machine or otherwise, but in no event by proxy, of a choice with respect to any election or vote taken upon any matter, which is cast in such a manner that the person expressing such choice cannot be identified with the choice expressed, except in that instance in which any determinative challenged ballot is opened.

### § 1421.16 Showing of interest.

Showing of interest means evidence of membership in a labor organization; employees' signed and dated authorization cards or petitions authorizing a labor organization to represent them for purposes of exclusive recognition; allotment of dues forms executed by an employee and the labor organization's authorized official; current records; and existing or recently expired agreement; current exclusive recognition or certification; employees' signed and dated petitions or cards indicating that they no longer desire to be represented for the purposes of exclusive recognition by the currently recognized or certified labor organization; or other evidence approved by the Authority.

### §1421.17 Grievance Board.

*Grievance Board* means the Foreign Service Grievance Board established under 22 U.S.C. 4135.

# § 1421.18 Regular and substantially equivalent employment.

Regular and substantially equivalent employment means employment that entails substantially the same amount of work, rate of pay, hours, working conditions, location of work, and seniority rights if any, of an employee prior to the cessation of employment in a Department because of any unfair labor practice under 22 U.S.C. 4115.

# PART 1422—REPRESENTATION PROCEEDINGS

Sec.

1422.1 Who may file petitions.

1422.2 Contents of petition; filing and service of petition; challenges to petition.

1422.3 Timeliness of petition.

1422.4 Investigation of petition and posting of notice of petition; action by Regional Director.

1422.5 Intervention.

1422.6 Withdrawal, dismissal or deferral of petitions; consolidation of cases; denial of intervention; review of action by Regional Director.

1422.7 Agreement for consent election.

1422.8 Notice of hearing; contents; attachments; procedures.

1422.9 Conduct of hearing.

1422.10 Motions.

1422.11 Rights of the parties.

1422.12 Duties and powers of the Hearing Officer.

1422.13 Objections to conduct of hearing.

1422.14 Filing of briefs.

1422.15 Transfer of case to the Board; contents of record.

1422.16 Decision.

1422.17 Election procedure; request for authorized representation election observers.

1422.18 Challenged ballots.

1422.19 Tally of ballots.

1422.20 Certification; objections to election; determination on objections and challenged ballots.

1422.21 Preferential voting.

1422.22 Inconclusive elections.

AUTHORITY: 22 U.S.C. 4107.

SOURCE: 46 FR 45862, Sept. 15, 1981, unless otherwise noted.

# § 1422.1 Who may file petitions.

- (a) A petition for exclusive recognition may be filed by a labor organization requesting an election to determine whether it should be recognized as the exclusive representative of employees of the Department in the unit described in 22 U.S.C. 4112 or should replace another labor organization as the exclusive representative of employees in such unit.
- (b) A petition for any election to determine if a labor organization should cease to be the exclusive representative because it does not represent a majority of employees in the unit described in 22 U.S.C. 4112 may be filed by an employee or employees or an individual acting on behalf of any employee(s).
- (c) A petition seeking to clarify a matter relating to representation may be filed by the Department where the Department has a good faith doubt, based on objective considerations, that the currently recognized or certified labor organization represents a majority of the employees in the unit described in 22 U.S.C. 4112.
- (d) A petition for clarification of the unit described in 22 U.S.C. 4112 or for amendment of recognition or certification may be filed by the Department or by a labor organization which is currently recognized by the Department as the exclusive representative.
- (e) A petition for determination of eligibility for dues allotment may be filed by a labor organization in accordance with 22 U.S.C. 4118(c).

# § 1422.2 Contents of petition; filing and service of petition; challenges to petition.

- (a) Petition for exclusive recognition. A petition by a labor organization for exclusive recognition shall be submitted on a form prescribed by the Board and shall contain the following:
- (1) The name of the Department, its address, telephone number, and the persons to contact and their titles, if known;
- (2) A description of the unit described in 22 U.S.C. 4112. Such description shall indicate the classifications of employees sought to be included and those sought to be excluded and the approximate number of employees in the unit;